

BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS

In re SCOTT L. OLSON,)	Financial Disclosure Appeal No. FD 20-035
)	
Appellant.)	Final Order No.
_____)	

FINAL ORDER

This matter came before the Commission on Ethics, meeting in public session on January 21, 2022, on the timely appeal of Appellant, pursuant to Section 112.3145(8)(g), Florida Statutes, which assesses an automatic fine of \$25 per day on a person who fails to timely file a required CE Form 1, Statement of Financial Interests. The Commission may waive the fine in whole or in part for good cause shown, based on "unusual circumstances" surrounding the failure to file by the designated date. There are no matters in dispute. Appellant has not requested a hearing before the Commission.

Findings of Fact

1. According to information provided to the Commission, Appellant served as a member of the Police Officers' Trust Fund Board for the City of Melbourne, a position requiring the filing of a CE Form 1, Statement of Financial Interests, for the year 2019. In 2020, the designated due date for submitting a 2019 CE Form 1 annual filing was July 1, 2020, with a grace period ending on September 1, 2020.

2. No later than June 1, 2020, the Brevard County Supervisor of Elections sent Appellant notice of the July 1, 2020, filing deadline and a blank 2019 CE Form 1 for him to complete.

3. No later than July 31, 2020, the Brevard County Supervisor of Elections sent Appellant a notice by certified mail concerning his filing obligation.

4. On August 20, 2020, the Commission on Ethics mailed Appellant a postcard intended to remind him of his obligation to file a 2019 CE Form 1. The Commission mailed the postcard to Appellant at 3682 North Wickham Road, Suite C, Melbourne, Florida.

5. On September 9, 2020, the Commission on Ethics sent Appellant a courtesy notice indicating that fines were beginning to accrue as his 2019 CE Form 1 had not been received.

6. Because Appellant did not file his 2019 CE Form 1 within 60 days of the expiration of the grace period—September 1, 2020—he accrued the maximum fine amount of \$1,500.

7. The Commission sent Appellant a Notice of Assessment of his Automatic Fine on March 16, 2021. The Notice was sent to the 3682 North Wickham Road address. It indicated the total of Appellant's accrued automatic fine, and included a notice of the right to appeal the fine, stating that the appeal had to be received by the Commission by April 15, 2021.

8. Appellant submitted his appeal on April 13, 2021. In the appeal, Appellant emphasized he has been a public pension trustee for 25 years and, due to ongoing pain and health-related reasons, he has not attended a City Police Pension Board meeting since approximately July 2020. He indicated his resignation from the Board became official on February 11, 2021. Appellant claimed in particular that, in June 2020, he contracted the COVID-19 virus. He also claimed additional health-related issues had occurred, such as a broken bone in his foot and a neuroma in his right leg that needed to be amputated.

9. On April 22, 2021, nine days after submitting his appeal, Appellant contacted the Commission on Ethics and told a Commission representative that he has had COVID-19 and broken his foot, and that he "has been in and out of [the] hospital."

10. Appellant subsequently submitted his 2019 CE Form 1 on June 14, 2021.¹

Conclusions of Law

11. The Commission has jurisdiction over the subject matter of this proceeding pursuant to Section 112.3145, Florida Statutes.

12. Financial disclosure is required of public officials and employees because it enables the public to evaluate potential conflicts of interest, deters corruption, and increases public confidence in government.

13. Section 112.3145(8)(g), Florida Statutes, states:

Any reporting person may appeal or dispute a fine, based upon unusual circumstances surrounding the failure to file on the designated due date, and may request and is entitled to a hearing before the commission, which may waive the fine in whole or in part for good cause shown. Any such request must be in writing and received by the commission within 30 days after the notice of payment due is transmitted. In such a case, the reporting person must, within the 30-day period, notify the person designated to review the timeliness of reports in writing of his or her intention to bring the matter before the commission. For purposes of this subparagraph, the term "unusual circumstances" does not include the failure to monitor an e-mail account or failure to receive notice if the person has not notified the commission of a change in his or her e-mail address.

14. Here, Appellant indicates that, in June 2020, immediately before the July 1, 2020, deadline for submitting the 2019 CE Form 1, he contracted COVID-19. Appellant also indicates that he broke a bone in his foot and developed a neuroma in his right leg that needed to be amputated. Considering these health-related reasons, as well as that Commission records show Appellant has filed for each Form year since 2006 and has incurred no other fines for untimely filing, there are "unusual circumstances" here that justify waiving the \$1,500 fine.

¹ Commission records reflect that on that same date, June 14, 2021, Appellant also submitted his 2020 CE Form 1 and 2021 CE Form 1F.

Order

Based on the foregoing facts and conclusions of law, the Commission hereby waives the assessed fine of \$1,500.

ORDERED by the State of Florida Commission on Ethics meeting in public session on Friday, January 21, 2021.

Date Rendered

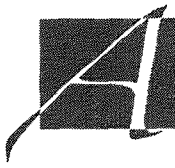
XXX

Chair, Florida Commission on Ethics

THIS ORDER CONSTITUTES FINAL AGENCY ACTION. ANY PARTY WHO IS ADVERSELY AFFECTED BY THIS ORDER HAS THE RIGHT TO SEEK JUDICIAL REVIEW UNDER SECTION 120.68, AND SECTION 112.3241, FLORIDA STATUTES, BY FILING A NOTICE OF ADMINISTRATIVE APPEAL PURSUANT TO RULE 9.110 FLORIDA RULES OF APPELLATE PROCEDURE, WITH THE CLERK OF THE COMMISSION ON ETHICS, AT EITHER 325 JOHN KNOX ROAD, BUILDING E, SUITE 200, TALLAHASSEE, FLORIDA 32303 OR P.O. DRAWER 15709, TALLAHASSEE, FLORIDA 32317-5709; AND BY FILING A COPY OF THE NOTICE OF APPEAL ATTACHED TO WHICH IS A CONFORMED COPY OF THE ORDER DESIGNATED IN THE NOTICE OF APPEAL ACCOMPANIED BY THE APPLICABLE FILING FEES WITH THE APPROPRIATE DISTRICT COURT OF APPEAL. THE NOTICE OF ADMINISTRATIVE APPEAL MUST BE FILED WITHIN 30 DAYS OF THE DATE THIS ORDER IS RENDERED.

JL: gps

Mr. Scott L. Olson
3682 North Wickham Road
Suite C
Melbourne, FL 32935-2325



Atlantic Financial Advisors, LLC
Retirement, Financial & Estate Planning
Registered Investment Advisor

210996 20-035

Florida Commission on Ethics
PO Drawer 15709
Tallahassee, FL 32317-5709

FLORIDA
COMMISSION ON ETHICS

APR 13 2021

RECEIVED

Re: Notice of Assessment of Automatic Fine.

Kimberly R Holmes
Emily Price

Monday, March 22, 2021

I received your letter about my tardiness at getting back the statement of financial interest for 2019, and potential fines.

For the record, I have been a trustee for four municipal pension plans over about 25 years and donated hundreds and hundreds of hours of my time, when I could have been working for a living those same hours. It's hard for me to think that because I screwed up something and didn't get a form in the appropriate time, that at the state might charge me \$1500. I can't imagine this happening.

On top of all the health issues I have gone through, last week I was informed I am out a job.

And right now, of COVID-19, partly because I broke a bone in my foot, and partly because of a neuroma in my right leg that had to be amputated, I'm not in a position to pay a fine.

I've been doing volunteer work for no pay for eight or nine years for the City of Melbourne and before that I volunteered for three pension boards in Satellite Beach, going back over 25 years.

I believed that I had submitted the 2019 form in June 2020, but it I may have sent the 2018 for both years, for 2018 and for 2019.

The last Board meeting I that I attended was probably July of last year.

In about Sep of last year, I let Jennifer Chase from the City of Melbourne Police Pension Board didn't think I could keep spending the time for the duties of being the Secretary or even on the Board of the City pension Plan.

She reminded me about my financial statement several times, but I informed her that I was terminating as a trustee for personal reasons.

Apparently, the City shows me being a trustee up to 2/11/2021 even though my last meeting was probably July of 2020. I have not been involved with the city pension plan since July 2020.

I would like to get a waiver from you're the fine discussed your letter to me dtd 3/16/2021.

I can provide your "Final Statement of Financial Interest" form for 2019, and 2020, require medical records from all the doctors and hospitals I've been at in the last two years. That would cost me more time than paying the \$1500 fine. Put it, that would make you happy. I will do my best to put that together.

Jennifer Chase from the Melbourne police pension plan knows that the reason that I missed the last three meetings was either because of doctors, hospitals or just pain issues.

Atlantic Financial Advisors, LLC

website: www.AtlanticFinancialAdvisors.com • email: Scott@AtlanticFinancialAdvisors.com

3682 North Wickham Road, Suite C, Melbourne FL 32935

Tel: (321) 751-5599 • Fax: (321) 751-5519 • Nationwide: (800) 779-4744

Advisory Services through Atlantic Financial Advisors, LLC (AFA), Registered Investment Advisor. Securities and additional Advisory services offered through Independent Financial Group, LLC (IFG). Member FINRA/SIPC AFA and IFG are not affiliated. OSJ Branch: 12671 High Bluff Dr, Ste 200, San Diego, CA 92130. Securities are NOT FDIC INSURED and MAY LOSE VALUE.

I found a form that was labeled "for a terminating" voted member but I don't know if I should complete that one or not.

I have spent many days in doctors' offices and hospitals over the past 2 years. This why I had to resign. I don't have the spare time, and mostly have only been able to work half days. I read that you want me to get you a complete doctors write up for every doctor I've seen in the last two years. Thank you very much for all the service I provided. To the state. If I could fax you all of my medical billing statements you could see what I have been through.

If you really want it, I guess I can get hold of all these doctors and hospitals and have them give me reports.

Otherwise, I'd be happy to send you copies of all of my shares of all the doctors and hospital bills have had in the last year or so. I got the virus in June of last year and got through that, but then my two assistants walked out on me because of the virus, and I can't run my business without help and I have been trying to do it on my own since then, and it's just about impossible.

However as of April 30 I am out of business.

I am not the position to pay a fine.

With all due respect,

Scott L. Olson

Holmes, Kim

From: Scott Olson <Scott@atlanticfinancialadvisors.com>
Sent: Tuesday, April 13, 2021 10:42 AM
To: disclosure
Subject: Statement of Financial Interest response 2019.docx
Attachments: Statement of Financial Interest response 2019.docx

Follow Up Flag: Follow up
Flag Status: Completed

Categories: Red Category

Kimberly R Holmes

Emily Price

Thanks,



Scott L. Olson, President
Atlantic Financial Advisors, LLC, Registered Investment Advisor
President, InsuredIncome, LLC, an insurance agency
3682 North Wickham Rd, Suite C,
Melbourne, FL 32935

(321) 751-5599 office
(800) 779-4744 toll-free
(321) 543-7709 cell
(321) 751-5519 fax

With almost 40 years' experience in Financial and Estate-Planning, Wealth Preservation and Wealth Transfer, Scott provides Continuing Education courses to attorneys (CLE) and CPAs (CPE). He presented his income and wealth advanced planning concepts at the Florida Bar Tax-Section conference⁽¹⁾ and their 1-hour CLE Teleconference⁽²⁾. Scott has provided continuing education to financial advisors in fifty states regarding tax-favored income and estate-tax wealth transfer techniques with use of advanced insurance concepts.

Registered Principal offering advisory services through Atlantic Financial Advisors, LLC (AFA), a Registered Investment Adviser. Securities and additional advisory services offered through Independent Financial Group, LLC (IFG), a Registered Broker-Dealer and Registered Investment Adviser. Member FINRA/SIPC.

Calls and meetings may be recorded for quality control and your protection. Securities are NOT FDIC INSURED and MAY LOSE VALUE. Investing is subject to risk, including possible loss of principal. Past performance is no guarantee of future results. Electronic communications are not necessarily confidential and may not be delivered or received reliably. Therefore, do not send orders to buy or sell securities or other instructions related to your accounts via e-mail. Transaction details sent to you by email do not supersede normal trade confirmations or statements. The information contained herein is based on sources we believe reliable but is not considered all-inclusive. The material contained herein is confidential and intended for the addressed recipient. If you are not the intended recipient for this message, any review, dissemination, distribution or duplication of this email is strictly prohibited. Please contact the sender immediately if you have received this message in error. Notes: (1) 10/2014; (2) 3/201

City of Melbourne



Mayor & City Council • City Clerk's Office

900 E. Strawbridge Avenue • Melbourne, FL 32901 (321) 608-7220 Fax (321) 608-7239

February 19, 2021

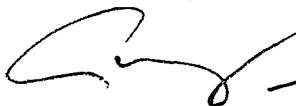
Scott Olson
Suite C
3682 N. Wickham Road
Melbourne, Florida 32935

Dear Mr. Olson

Thank you for notifying us of your decision to resign from the Police Officers' Retirement Trust Fund Board of Trustees. On behalf of the City Council, thank you for your service on this board. We appreciate the commitment you made of your time and expertise.

The City of Melbourne's success is based, in part, on the volunteers who serve on our boards and committees. As a token of our appreciation, a plaque recognizing your volunteer service is enclosed.

Sincerely,


Paul Alfrey
Mayor

*Thank you Scott!
For your service!*

PA:ma
Enclosure

c: Janice Hill, Chairman
Jennifer Chase, Recording Secretary
Jeff Towne, Director of Finance

Kevin McKeown

From: Kevin McKeown
Sent: Thursday, February 11, 2021 5:16 PM
To: Jennifer Chase
Subject: RE: Melbourne Police Pension Board

Got it. We'll process his resignation. km

From: Jennifer Chase <Jennifer.Chase@mlbfl.org>
Sent: Thursday, February 11, 2021 3:15 PM
To: Kevin McKeown <Kevin.McKeown@mlbfl.org>
Subject: FW: Melbourne Police Pension Board

Hello Kevin,

Please see below, thank you.

From: Scott Olson <Scott@atlanticfinancialadvisors.com>
Sent: Thursday, February 11, 2021 3:06 PM
To: Jennifer Chase <Jennifer.Chase@mlbfl.org>
Subject: RE: Melbourne Police Pension Board

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Hey Jennifer,
The last time we spoke I believe that I said I am no longer interested in supporting the police pension board.

Thanks,



Scott L. Olson, President
Atlantic Financial Advisors, LLC, Registered Investment Advisor
President, InsuredIncome, LLC, an insurance agency
3682 North Wickham Rd, Suite C,
Melbourne, FL 32935

(321) 751-5599 office
(800) 779-4744 toll-free
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(321) 751-5519 fax

Email: Scott@AtlanticFinancialAdvisors.com

Facebook: [AdvisorScott](#)

LinkedIn: [AdvisorScott](#)

Free Portfolio Risk Analysis 

With almost 40 years' experience in Financial and Estate-Planning, Wealth Preservation and Wealth Transfer, Scott provides Continuing Education courses to attorneys (CLE) and CPAs (CPE). He presented his income and wealth advanced planning concepts at the Florida Bar Tax-Section conference⁽¹⁾ and their 1-hour CLE Teleconference⁽²⁾. Scott has provided continuing education to financial advisors in fifty states regarding tax-favored income and estate-tax wealth transfer techniques with use of advanced insurance concepts.

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Calls and meetings may be recorded for quality control and your protection. Securities are NOT FDIC INSURED and MAY LOSE VALUE. Investing is subject to risk, including possible loss of principal. Past performance is no guarantee of future results. Electronic communications are not necessarily confidential and may not be delivered or received reliably. Therefore, do not send orders to buy or sell securities or other instructions related to your accounts via e-mail. Transaction

details sent to you by email do not supersede normal trade confirmations or statements. The information contained herein is based on sources we believe reliable but is not considered all-inclusive. The material contained herein is confidential and intended for the addressed recipient. If you are not the intended recipient for this message, any review, dissemination, distribution or duplication of this email is strictly prohibited. Please contact the sender immediately if you have received this message in error. Notes: (1) 10/2014; (2) 3/2015

From: Jennifer Chase <Jennifer.Chase@mlbfl.org>
Sent: Thursday, February 11, 2021 11:43 AM
To: Scott Olson <Scott@atlanticfinancialadvisors.com>
Subject: Re: Melbourne Police Pension Board
Importance: High

Good Morning Scott,

Hope this email finds you well.

At the February 10, 2021 board meeting, the Melbourne Police Pension Board discussed board attendance under the Board Evaluation agenda item.

It was noted that you were absent for three meetings during calendar year 2020.

When we briefly spoke a while back, you indicated that you may not be able to continue your seat on the Board due to personal reasons.

Please respond to this email by Wednesday, February 17th with your intentions. I will forward to the City Clerks once you respond.

Thank you!

Diadina Garcia

From: Diadina Garcia
Sent: Tuesday, September 22, 2020 4:56 PM
To: city.clerk@mlbfl.org
Subject: FORM 1

Importance: High

210996 Olson Scott Melbourne-
Police Officers Trust Fund Board (PFR)

3682 N Wickham Rd Ste C
Melbourne, FL 32935 -2325

Top of Form

Bottom of Form

Hello Madam Clerk,

Please have Mr. Olson submit his Form 1. It is now past due.

Thank you,

Diadina Garcia

From: Diadina Garcia
Sent: Wednesday, September 23, 2020 10:37 AM
To: scott@insuredincome.com
Subject: RE: FORM 1 - Scott Olson

210996 Olson Scott Melbourne-
Police Officers Trust Fund Board (PFR)

3682 N Wickham Rd Ste C
Melbourne, FL 32935 -2325

Top of Form
Bottom of Form

Hello Mr. Olson

Please submit your 2019 Form 1. It is now past due. Use the link below to access the form.

http://www.ethics.state.fl.us/Documents/Forms/Form%201_2019i.pdf?cp=2020923

Thank you,

Diadina Garcia
Brevard County Supervisor of Elections

From: SM-City Clerk <city.clerk@mlbfl.org>
Sent: Wednesday, September 23, 2020 10:27 AM
To: Diadina Garcia <dgarcia@votebrevard.gov>
Cc: Jennifer Chase <Jennifer.Chase@mlbfl.org>; Kevin McKeown <Kevin.McKeown@mlbfl.org>; SM-City Clerk <city.clerk@mlbfl.org>
Subject: RE: FORM 1 - Scott Olson

SECURITY WARNING: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Ms. Garcia, we have sent multiple reminders (see attached). By copy of this e-mail, I'll ask the board's recording secretary to forward this email to him so that he is on notice that daily fines are accruing.

Regards,

Cathy Wysor

Cathy Wysor
City Clerk
City of Melbourne
900 E. Strawbridge Avenue
Melbourne, FL 32901
321-608-7220
cathy.wysor@mlbfl.org

From: Diadina Garcia <dgarcia@votebrevard.gov>
Sent: Tuesday, September 22, 2020 4:56 PM
To: SM-City Clerk <city.clerk@mlbfl.org>
Subject: FORM 1
Importance: High

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

210996 Olson Scott Melbourne-
Police Officers Trust Fund Board (PFR)

3682 N Wickham Rd Ste C
Melbourne, FL 32935 -2325

Top of Form

Bottom of Form

Hello Madam Clerk,

Please have Mr. Olson submit his Form 1. It is now past due.

Thank you,

Under Florida law, F. S. 668.6076, e-mail addresses are public records. If you do not want your e-mail address released in response to a public-records request, do not send electronic mail to this entity. Instead, contact this office by phone or in writing. Según la ley de Florida, F. S. 668.6076, las direcciones de correo electrónico son registros públicos. Si no desea que se divulgue su dirección de correo electrónico en respuesta a una solicitud de registros públicos, no envíe correos electrónicos a esta entidad. En cambio, se puede comunicar con esta oficina por teléfono o por escrito.

FW: statement of financial interest



Cathy Wyzor <Cathy.Wyzo@mbfl.org>
To: Diadina Garcia
Cc: scott@insuredin.com; Jennifer Chase

Reply Reply All Forward



RE: Statement of Financial Interests 2018
Outlook item



Statement of Financial Interests 2018
Outlook item

Get Outlook for Windows

This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Ms. Garcia:

The Commission on Ethics website indicates that Scott Olson (City of Melbourne Police Pension Board) has not filed his annual financial disclosure form. See attached e-mail. His form was acknowledged on June 20, 2019. Please update the status on the website to indicate that Mr. Olson filed on 6/20.

Thank you!

Cathy Wyzor

Cathy Wyzor
City Clerk
City of Melbourne
900 E. Strawbridge Avenue
Melbourne, FL 32901
321-608-7220
cathy@cityofmelbourne.org

Brevard County

Florida Commission on Ethics Financial Disclosure Notification System 2020 Delinquency Certification Reported 11/13/2020

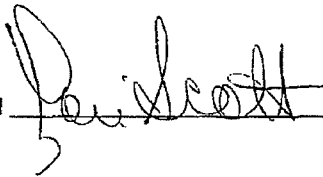
This is a list of all delinquent Brevard County filers. The date indicated in the "Form Receipt Date" column is the date the form was received. Any persons with no form receipt date indicated have not filed.

Record Number	Form Receipt Date	ID	Name	Organization	Title	Filer Flags	Filing County	County
1								
2								
3								
4		210996	Scott L. Olson	Melbourne	Board Member		15	Brevard
5								
6								

I Lori Scott, the Supervisor of Elections of Brevard County, hereby certify that each person whose PID number, name, agency, and position appears above or on the attached list:

1. was sent a notice of the July 1, 2020 financial disclosure deadline and a blank Form 1, Statement of Financial Interests, not later than June 1, 2020;
2. was determined to be delinquent in filing a Form 1, Statement of Financial Interests, by July 1, 2020;
3. was sent a delinquency notice by certified mail not later than July 31, 2020 advising him or her of the grace period in effect until September 1, 2020; and of the penalties that could be imposed as provided in Section 112.3145(8)(c), Florida Statutes; and
4. did not file a Form 1, Statement of Financial Interests, until the date shown or, had not filed a Form 1, Statement of Financial Interests by October 31, 2020; and further
5. that the date of filing shown is based upon the earliest of the following:
 - a. when the Form 1 was actually received by my office;
 - b. when the Form 1 was postmarked;
 - c. when the certificate of mailing (if any) was dated; or
 - d. when the receipt (if any) from an established courier company was dated.

Signed



FORM 1		STATEMENT OF FINANCIAL INTERESTS		2019	
Please print or type your name, mailing address, agency name, and position below:			FOR OFFICE USE ONLY: FLORIDA COMMISSION ON ETHICS JUN 14 2021 RECEIVED <i>210996</i> PROCESSED		
LAST NAME -- FIRST NAME -- MIDDLE NAME : Olson Scott Lawrence			FILE COPY		
MAILING ADDRESS : 3682 N Wickham Rd, Ste C					
CITY : ZIP : COUNTY : Melbourne 32935 Brevard					
NAME OF AGENCY : City of Melbourne Police Pension					
NAME OF OFFICE OR POSITION HELD OR SOUGHT : Board Member and Secretary					
CHECK ONLY IF <input checked="" type="checkbox"/> CANDIDATE OR <input type="checkbox"/> NEW EMPLOYEE OR APPOINTEE					
**** THIS SECTION <u>MUST</u> BE COMPLETED ****					
DISCLOSURE PERIOD: THIS STATEMENT REFLECTS YOUR FINANCIAL INTERESTS FOR CALENDAR YEAR ENDING DECEMBER 31, 2019.					
MANNER OF CALCULATING REPORTABLE INTERESTS: FILERS HAVE THE OPTION OF USING REPORTING THRESHOLDS THAT ARE ABSOLUTE DOLLAR VALUES, WHICH REQUIRES FEWER CALCULATIONS, OR USING COMPARATIVE THRESHOLDS, WHICH ARE USUALLY BASED ON PERCENTAGE VALUES (see instructions for further details). CHECK THE ONE YOU ARE USING (must check one): <input checked="" type="checkbox"/> COMPARATIVE (PERCENTAGE) THRESHOLDS OR <input type="checkbox"/> DOLLAR VALUE THRESHOLDS					
PART A -- PRIMARY SOURCES OF INCOME [Major sources of income to the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a")					
NAME OF SOURCE OF INCOME	SOURCE'S ADDRESS	DESCRIPTION OF THE SOURCE'S PRINCIPAL BUSINESS ACTIVITY			
Atlantic Financial Advisors, llc	3682 N Wickham Rd, Ste C Melbourne, FL 32935	Financial Advisory			
PART B -- SECONDARY SOURCES OF INCOME [Major customers, clients, and other sources of income to businesses owned by the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a")					
NAME OF BUSINESS ENTITY	NAME OF MAJOR SOURCES OF BUSINESS' INCOME	ADDRESS OF SOURCE	PRINCIPAL BUSINESS ACTIVITY OF SOURCE		
NA					
PART C -- REAL PROPERTY [Land, buildings owned by the reporting person - See instructions] (If you have nothing to report, write "none" or "n/a") NA				You are not limited to the space on the lines on this form. Attach additional sheets, if necessary. FILING INSTRUCTIONS for when and where to file this form are located at the bottom of page 2. INSTRUCTIONS on who must file this form and how to fill it out begin on page 3.	

PART D — INTANGIBLE PERSONAL PROPERTY (Stocks, bonds, certificates of deposit, etc. - See instructions) (If you have nothing to report, write "none" or "n/a")		
TYPE OF INTANGIBLE	BUSINESS ENTITY TO WHICH THE PROPERTY RELATES	
NA		
PART E — LIABILITIES (Major debts - See instructions) (If you have nothing to report, write "none" or "n/a")		
NAME OF CREDITOR	ADDRESS OF CREDITOR	
Wells Fargo Bank		
credit cards		
PART F — INTERESTS IN SPECIFIED BUSINESSES (Ownership or positions in certain types of businesses - See instructions) (If you have nothing to report, write "none" or "n/a")		
	BUSINESS ENTITY # 1	BUSINESS ENTITY # 2
NAME OF BUSINESS ENTITY		
ADDRESS OF BUSINESS ENTITY	3682 N Wickham Rd, Ste C	
PRINCIPAL BUSINESS ACTIVITY	Financial Advisor	
POSITION HELD WITH ENTITY	Pres & RIA	
I OWN MORE THAN A 5% INTEREST IN THE BUSINESS	Yes	
NATURE OF MY OWNERSHIP INTEREST	Active	
PART G — TRAINING For elected municipal officers required to complete annual ethics training pursuant to section 112.3142, F.S.		
<input checked="" type="checkbox"/> I CERTIFY THAT I HAVE COMPLETED THE REQUIRED TRAINING.		
IF ANY OF PARTS A THROUGH G ARE CONTINUED ON A SEPARATE SHEET, PLEASE CHECK HERE <input type="checkbox"/>		
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p style="text-align: center; margin-bottom: 10px;"><u>SIGNATURE OF FILER:</u></p> <p>Signature: _____</p> <p style="margin-top: 20px;">Date Signed: <u>3/22/2024</u></p> </div> <div style="width: 50%;"> <p style="text-align: center; margin-bottom: 10px;"><u>CPA or ATTORNEY SIGNATURE ONLY</u></p> <p style="font-size: small;">If a certified public accountant licensed under Chapter 473, or attorney in good standing with the Florida Bar prepared this form for you, he or she must complete the following statement:</p> <p>I, _____, prepared the CE Form 1 in accordance with Section 112.3145, Florida Statutes, and the instructions to the form. Upon my reasonable knowledge and belief, the disclosure herein is true and correct.</p> <p>CPA/Attorney Signature: _____</p> <p>Date Signed: _____</p> </div> </div>		
<u>FILING INSTRUCTIONS:</u>		
<p>If you were mailed the form by the Commission on Ethics or a County Supervisor of Elections for your annual disclosure filing, return the form to that location. To determine what category your position falls under, see page 3 of instructions.</p> <p>Local officers/employees file with the Supervisor of Elections of the county in which they permanently reside. (If you do not permanently reside in Florida, file with the Supervisor of the county where your agency has its headquarters.) Form 1 filers who file with the Supervisor of Elections may file by mail or email. Contact your Supervisor of Elections for the mailing address or email address to use. <u>Do not email your form to the Commission on Ethics. It will be returned.</u></p> <p>State officers or specified state employees who file with the Commission on Ethics may file by mail or email. To file by mail, send the completed form to P.O. Drawer 15709, Tallahassee, FL 32317-5709; physical address: 325 John Knox Rd, Bldg E, Ste 200, Tallahassee, FL 32303. To file with the Commission by email, scan your completed form and any attachments as a pdf (do not use any other format), send it to CEForm1@leg.state.fl.us and retain a copy for your records. <u>Do not file by both mail and email. Choose only one filing method.</u> Form 6s will not be accepted via email.</p>		
<p>Candidates file this form together with their filing papers.</p> <p>MULTIPLE FILING UNNECESSARY: A candidate who files a Form 1 with a qualifying officer is not required to file with the Commission or Supervisor of Elections.</p> <p>WHEN TO FILE: <i>Initially</i>, each local officer/employee, state officer, and specified state employee must file <u>within 30 days</u> of the date of his or her appointment or of the beginning of employment. Appointees who must be confirmed by the Senate must file prior to confirmation, even if that is less than 30 days from the date of their appointment.</p> <p>Candidates must file at the same time they file their qualifying papers.</p> <p>Thereafter, file by July 1 following each calendar year in which they hold their positions.</p> <p>Finally, file a final disclosure form (Form 1F) within 60 days of leaving office or employment. Filing a CE Form 1F (Final Statement of Financial Interests) does <u>not</u> relieve the filer of filing a CE Form 1 if the filer was in his or her position on December 31, 2019.</p>		

**BEFORE THE
STATE OF FLORIDA
COMMISSION ON ETHICS**

In re **Scott L. Olson**
 Board Member
 Police Officers Trust Fund Board
 Melbourne

PID#: 210996

NOTICE OF ASSESSMENT OF AUTOMATIC FINE

The Commission on Ethics hereby gives notice of an assessment of a fine against you pursuant to Section 112.3145(8)(g), Florida Statutes, due to your failure to timely file your 2019 CE Form 1, Statement Of Financial Interests. Under the law, your 2019 CE Form 1, Statement of Financial Interests, was due by July 1, 2020. The law provided for a penalty-free grace period extending the due date to September 1, 2020. After that date, you accrued fines of \$25.00 per day for each day your financial disclosure was late, up to the maximum fine of \$1,500.00 (60 days late), pursuant to Section 112.3145(8)(g), Florida Statutes.

Inasmuch as your 2019 CE Form 1 has not been filed with the Supervisor of Elections for Brevard County within the 60 days of the grace period date (September 1, 2020), you have accrued the maximum fine amount of \$1,500.00. This fine must be paid to the Commission on Ethics within 30 days of the date of this notice unless you appeal the fine to the Commission. The Commission has the authority to consider the appeal and waive the fine in whole or in part if your failure to file on time was due to "unusual circumstances" surrounding the failure to file. Unless the fine is successfully appealed, the Commission is required to investigate public officers and employees who receive the maximum \$1,500 fine, to determine whether their failure to file was willful. The penalty for willfully failing to file disclosure is removal from public office or employment.

HOW TO APPEAL

1. Read these instructions carefully before submitting your appeal.
2. **LEGAL AUTHORITY:** Appeals are governed by Section 112.3145(8)(g)3., Florida Statutes, and Commission Rule 34-8.215, Florida Administrative Code.
3. **FORMAT:** Your appeal must be in writing and mailed to Florida Commission on Ethics, P. O. Drawer 15709, Tallahassee, FL 32317-5709, or delivered to Florida Commission on Ethics, 325 John Knox Road, Building E, Suite 200, Tallahassee, FL 32303. The appeal may take the form of a letter or you may use the appeal form included in this mailing. The appeal form also is available at the Commission's website: www.ethics.state.fl.us. Click on "Financial Disclosure" and then the link to the sample appeal form.
4. **DUE DATE:** Your appeal must be received by the Commission on Ethics on or before **April 15, 2021**. **NOTE:** Failure to timely file an appeal will constitute a waiver of your right to appeal and will result in the entry of a default order against you.
5. **UNUSUAL CIRCUMSTANCES:** An appeal must demonstrate that you submitted your CE Form 1 after the extended due date because of "unusual circumstances." "Unusual circumstances" is defined in Commission Rule 34-8.215(4), Florida Administrative Code, as "uncommon, rare, or sudden events over which the reporting individual had no control and which directly result in the failure to act in accordance with the filing requirements." Therefore, circumstances that allowed for time to take steps necessary to file on time do not constitute "unusual circumstances" that will allow the Commission to waive the fine. You have the burden to establish "unusual circumstances." Your appeal must specifically state the circumstances that led to your not filing by September 1, 2020, and must include any documentation or evidence supporting your appeal, such as:
 - a. **SICKNESS/INJURY:** a statement from attending physician, including dates and nature of the illness or injury;

- b. **LACK OF NOTICE (WRONG ADDRESS):** documentation that you did not reside at the address to which notice was sent;
 - c. **LACK OF NOTICE (ABSENCE FROM HOME):** documentation establishing the period of time of your absence covering the notification period;
 - d. **CLAIM OF TIMELY FILING OF FINANCIAL DISCLOSURE:** (1) an affidavit from you attesting under oath or affirmation that you filed your financial disclosure and your recollection of when and how you filed and (2) a copy of a certified mail receipt and/or a copy of the completed form which was filed. If you have witnesses to your filing, we also will need an affidavit from each witness. **NOTE:** A claim of having filed the CE Form 1F for the current year does not satisfy the CE Form 1 filing requirement or excuse a late filing;
 - e. **LEFT PUBLIC POSITION BEFORE DECEMBER 31, 2019:** confirmation of your last date of office or employment by your former agency, showing the last date to be before December 31, 2019; or
 - f. **UNCLAIMED CERTIFIED MAIL:** if delinquency notice was addressed correctly but not received, you must explain why.
6. **YOUR RIGHT TO A HEARING:** You have the right to have your appeal heard by the Commission and to appear before the Commission at the hearing, but, to exercise this right, you must specifically request a hearing in your appeal. If you do not request a hearing, you will waive your right to a hearing, the Commission will determine the outcome of your appeal based upon the written record (including the documentation you provide and any documentation in your case file), and you will receive no further notice until after the Commission decides your appeal.

FAILURE TO PAY FINE OR FILE APPEAL WITHIN 30 DAYS

If you do not timely file an appeal or pay the assessed fine within 30 days of this Notice, a default order will be entered against you and the Commission will take the steps provided by law to collect the fine, including:

- Referral to the CFO of the Department of Financial Services, if you are a salaried state officer or employee, for withholding of a portion of your salary until the fine is satisfied; or
- Referral to your agency's governing body for withholding of a portion of your salary until the fine is satisfied;
- Referral to a collection agency, which can seek garnishment of your wages; and/or
- An additional civil penalty, not limited by this automatic fine, may be imposed if your disclosure statement is filed more than 60 days late and a complaint is filed against you pursuant to Section 112.324, Florida Statutes.

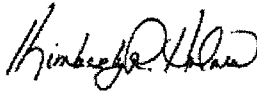
Please contact our office if you have any questions about this matter.

CERTIFICATE OF MAILING

I certify that a copy of the foregoing Notice of Assessment of Automatic Fine was furnished to:

Scott L. Olson
3682 N Wickham Rd Ste C
Melbourne, FL 32935 -2325

by Certified Mail on this Tuesday, March 16, 2021.



KIMBERLY R. HOLMES
Program Administrator

Florida Commission on Ethics
P. O. Drawer 15709
Tallahassee, FL 32317-5709

-or-

Florida Commission on Ethics
325 John Knox Road, Building E, Ste. 200
Tallahassee, FL 32303

Tel.: (850) 488-7864

Fax: (850) 488-3077

Email: disclosure@leg.state.fl.us



Financial Disclosure Management System

THE FLORIDA COMMISSION ON ETHICS

Filer - Fines and Appeals - PID 210996 - Scott L. Olson

Filer Information

Org Membership

Forms

Communications

Fines and Appeals >

[View All](#)

Filer Flags

[2000](#) [2001](#) [2002](#) [2003](#) [2004](#)
[2005](#) [2006](#) [2007](#) [2008](#) [2009](#)
[2010](#) [2011](#) [2012](#) [2013](#) [2014](#)
[2015](#) [2016](#) [2017](#) [2018](#) [2019\(S\)](#)
[2020](#)

<<2020 Form Year

Status

Filing: ACTIVE

Fine: No Fine

Flags

Public Address

Filing Extensions

Indefinite: None

Temporary:

None

Eligible for Fines

[Update Flags](#)

The filer has fines for: [2020 \(Appeal\)](#)

2020 Fines and Appeals

Form Year 2019 Filed Forms

Received Date	Form Type	Form Signed	Filed by Email	Filing Location	Updated	Comments
06/14/21	Form 1	Yes	No	SOE	HOLMESK on 06/14/2021	Received by COE on behalf of Brevard Supervisor of Elections (FAX)

2020 Fine Information

[Update Fine Information](#)

[Assign Agency Contact](#)

Fine Balance	Fine Status	Fine Date	Original Assessment	Fine Amount	Last Payment Date	Payment Plan Start Date	Payment Plan Amount
\$1,500.00	Appeal	3/16/2021	\$1,500.00	\$1,500.00			

Fine Address 3682 N Wickham Rd Ste C Melbourne FL 32935-2325

Org/Suborg Melbourne-Police Officers Trust Fund Board

2020 Fine Payment History

Date Posted	Description	Amount	Method	Payment ID	Comments
3/16/2021	Fine Levied	+ \$1,500.00			Fined \$1500.00

Current Balance: \$1,500.00

 Add a New Filer



 Jump To A Filer
PID:
 Quick Filer Search

First Name:

Last Name:



2020 Fine Year Event

Chronology



 Date	Type	Description	Reference
 08/20/2020	Postcard Sent	Courtesy Postcard Reminder	Print Queue: <u>8/20/2020</u> Printing Confirmed: 8/20/2020

Letter Sent To:

Scott L. Olson
3682 N Wickham Rd Ste C
Melbourne, FL 32935 -2325

 09/9/2020	Letter Sent	Courtesy Notice of Fines Accruing	Print Queue: <u>9/9/2020</u> Printing Confirmed: 9/9/2020
03/16/2021	Fine Levied	Fined \$1500.00	Journal: <u>3/16/2021</u> <u>9:34 AM</u>
03/16/2021	Notice of Assessed Fine	Initial Fine Notice	Journal: <u>3/16/2021</u> <u>10:02 AM</u>
 03/16/2021	Letter Sent	Notice of Assessed Fine - Filer 1st Fine Letter	Print Queue: <u>3/16/2021</u> Printing Confirmed: 3/16/2021
03/22/2021	Filer Communication: Phone	Filer has had the corona virus and broke foot has been in and out of hospital. Been through horrible times. Must have stupidly being so sick and filed wrong one!!!! Told him to send in full details in appeal.	Emily Prine
03/22/2021	Filer Communication: Email	From: Prine.Emily Sent: Monday, March 22, 2021 10:37 AM To: 'solson78@cfl.rr.com' Subject: Various items Importance: High Scott, Pleasure speaking with you!	Emily Prine

Priority is the Form 1 2019
and your appeal. Contact
your coordinator to verify if
you should be on the 2020
list and if they had received
notice of your resignation. If
we can help please do not
hesitate to reach out! This is
your coordinator. Sincerely,
Emily E. Prine Program
Specialist/Financial
Disclosure 850-488-7864
Florida Commission on Ethics
Post Office Drawer 15709
Tallahassee, FL 32317-5709

04/21/2021	Fine Appeal	FD 20-035	Journal: <u>4/21/2021</u> <u>6:50 PM</u>
 04/23/2021	Letter Sent	Fine Appeal	Print Queue: <u>4/23/2021</u> Printing Confirmed: 4/23/2021
 06/14/2021	Form Received	Form 1 Received, Signed	Form 1 Received by Received by COE on behalf of Brevard Supervisor of Elections (FAX) SOE
06/14/2021	Filer Communication: Email	From: Holmes, Kim Sent: Monday, June 14, 2021 9:14 AM To: 'Kevin McKeown' Subject: Melbourne-Police Officers Trust Fund Board Importance: High Good morning, Mr. McKeown. According to our records, Scott Olson is listed as a member of the Police Officers Trust Fund Board as of December 31, 2020. Mr. Olson advises that he resigned in August 2020. Can	Kimberly Holmes

you confirm if Mr. Olson
resigned from the board? If
yes, what was the date of
resignation. If you have any
questions, please do not
hesitate to contact me.
Sincerely, Kimberly R.
Holmes Program
Administrator Financial
Disclosure Section Florida
Commission on Ethics P.O.
Drawer 15709 Tallahassee,
FL 32317-5709 (850) 488-
7864 (850) 488-3077 (Fax)
www.ethics.state.fl.us

06/14/2021 Filer
Communication:
Email

From: Jennifer Chase Sent: Kimberly Holmes
Thursday, February 11,
2021 11:43 AM To: Scott
Olson Subject: Re:
Melbourne Police Pension
Board Importance: High Good
Morning Scott, Hope this
email finds you well. At the
February 10, 2021 board
meeting, the Melbourne
Police Pension Board
discussed board attendance
under the Board Evaluation
agenda item. It was noted
that you were absent for
three meetings during
calendar year 2020. When
we briefly spoke a while
back, you indicated that you
may not be able to continue
your seat on the Board due
to personal reasons. Please
respond to this email by
Wednesday, February 17th
with your intentions. I will
forward to the City Clerks

once you respond. Thank
you!

06/14/2021 Filer From: Kevin McKeown Sent: Kimberly Holmes
Communication: Monday, June 14, 2021 12:08
Email PM To: Holmes, Kim Subject:
RE: Melbourne-Police
Officers Trust Fund Board
Good morning Ms. Holmes,
Please see the attached
resignation letter, letter
from the Mayor and financial
disclosure letter from my
office. Mr. Olson may be
under the belief that he
resigned from the board
earlier, but the official
resignation received in my
office was received in
February 2021. Please let me
know if this helps. Thank
you, Kevin McKeown City
Clerk

07/6/2021 Filer From: Janet Shaffer Sent: Kimberly Holmes
Communication: Tuesday, July 06, 2021 12:36
Email PM To: Holmes, Kim Cc: Tim
Bobanic Subject: Certified
Mail Delinquent Filers Kim,
Attached you will find the
available information
regarding: Scott L. Olson
Thanks, Janet Shaffer
Administrative Support
Specialist Brevard County
Supervisor of Elections (321)
343-5612
Jshaffer@VoteBrevard.gov
www.VoteBrevard.gov

2020 Fine Appeal – FD
20-035

Update Appeal

Withdraw Appeal

Assign Attorney

Request More Info

	<table border="1"> <tr> <td data-bbox="511 157 820 241"></td><td data-bbox="820 157 1500 241">Record Appeal Outcome</td></tr> <tr> <td data-bbox="511 241 820 972"> <p>Appeal Status: Active</p> <p>Appeal Receipt Date: 04/13/2021</p> <p>Timely Filed: Yes</p> <p>Print Appeal Letter: Yes</p> <p>Hearing Requested: No</p> <p>Appeal Reason: Illness or Injury, Other, Previously Filed Financial Disclosure</p> <p>Appeal Notes:</p> <p>Appeal Number: FD 20- 035</p> <p>Appeal Analyst Assigned:</p> <p>Final Order Number:</p> <p>Final Order Date:</p> </td><td data-bbox="820 241 1500 972"> <p>No Hearing Requested</p> </td></tr> </table>		Record Appeal Outcome	<p>Appeal Status: Active</p> <p>Appeal Receipt Date: 04/13/2021</p> <p>Timely Filed: Yes</p> <p>Print Appeal Letter: Yes</p> <p>Hearing Requested: No</p> <p>Appeal Reason: Illness or Injury, Other, Previously Filed Financial Disclosure</p> <p>Appeal Notes:</p> <p>Appeal Number: FD 20- 035</p> <p>Appeal Analyst Assigned:</p> <p>Final Order Number:</p> <p>Final Order Date:</p>	<p>No Hearing Requested</p>
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